

Audit and Governance Committee

Date: Monday, 28 September 2020

Time: 10.00 am

Venue: Please note that, due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. This meeting will be held remotely in a Microsoft Teams Live Event (see link below)

Membership: (Quorum 3)

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Susan Cocking, Rod Adkins, Janet Dover, Barry Goringe, Mike Parkes, Bill Pipe, Clare Sutton and Bill Trite

Chief Executive: Matt Prosser, South Walks House, South Walks Road, Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

For more information about this agenda please contact Democratic Services on Fiona King or 01305 224186 - fiona.king@dorsetcouncil.gov.uk



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Due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. Members of the public are welcome to attend this meeting and listen to the debate online by using the following link:-

[Audit and Governance Committee](#)

Members of the public wishing to view the meeting from an iPhone, iPad or Android phone will need to download the free Microsoft Team App to sign in as a Guest, it is advised to do this at least 30 minutes prior to the start of the meeting.

Please note that public speaking has been suspended. However Public Participation will continue by written submission only. Please see detail set out below.

Dorset Council is committed to being open and transparent in the way it carries out its business whenever possible. A recording of the meeting will be available on the council's website after the event.

Using social media at virtual meetings

Anyone can use social media such as tweeting and blogging to report the meeting when it is open to the public.

A G E N D A

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1 APOLOGIES

To receive any apologies for absence.

2 MINUTES

5 - 10

To confirm the minutes of the meeting held on 10 August 2020.

3 DECLARATIONS OF INTEREST

To receive any declarations of interest.

4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

Public speaking has been suspended for virtual committee meetings during the Covid-19 crisis and public participation will be dealt with through written submissions only.

Members of the public who live, work or represent an organisation within the Dorset Council area, may submit up to two questions or a statement of up to a maximum of 450 words. All submissions must be sent electronically to fiona.king@dorsetcouncil.gov.uk by the deadline set out below.

When submitting a question please indicate who the question is for and include your name, address and contact details. Questions and statements received in line with the council's rules for public participation will be published as a supplement to the agenda.

Questions will be read out by an officer of the council and a response given by the appropriate Portfolio Holder or officer at the meeting. All questions, statements and responses will be published in full within the minutes of the meeting.

The deadline for submission of the full text of a question or statement is 8.30am on Wednesday 23 September 2020.

5 FINANCIAL REPORT QUARTER 1 2020/21

11 - 32

To consider a report by the Executive Director for Corporate Development.

6 TREASURY MANAGEMENT ANNUAL REPORT 33 - 48

To receive the Annual Report.

7 INTERNAL AUDIT ACTIVITY AND PROGRESS 2020/21 49 - 62

To consider a report from the South West Audit Partnership.

8 INTERNAL AUDIT ANNUAL REPORT 2019-20 63 - 76

To consider a report from the South West Audit Partnership.

9 FRAUD AND WHISTLEBLOWING ANNUAL REPORT 77 - 84

To consider a report from the Service Manager for Assurance.

10 RISK MANAGEMENT UPDATE 85 - 136

To receive an update report from the Service Manager for Assurance.

11 DORSET COUNCIL'S PERFORMANCE FRAMEWORK

To receive a presentation from the Service Manager for Business Intelligence and Performance.

12 CONSTITUTIONAL CHANGES

To receive an update from the Monitoring Officer.

13 FORWARD PLAN 137 - 142

To consider the work programme for the Committee.

14 URGENT ITEMS

To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.