

# Audit and Governance Committee

**Date:** Monday, 27 September 2021

**Time:** 10.00 am

**Venue:** Please note that, due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. This meeting will be held remotely in a Microsoft Teams Live Event (see link below)

**Membership: (Quorum 3)**

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Susan Cocking, Rod Adkins, Janet Dover, Barry Goringe, Mike Parkes, Bill Pipe, Clare Sutton and Bill Trite

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**Chief Executive:** Matt Prosser, South Walks House, South Walks Road, Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

**For more information about this agenda please contact Democratic Services**  
[susan.dallison@dorsetcouncil.gov.uk](mailto:susan.dallison@dorsetcouncil.gov.uk)



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Due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. Members of the public are welcome to attend this meeting and listen to the debate online by using the following link:-

[Link to live meeting](#)

**Members of the public wishing to view the meeting from an iphone, ipad or android phone will need to download the free Microsoft Team App to sign in as a Guest, it is advised to do this at least 30 minutes prior to the start of the meeting.**

**Please note** that public speaking has been suspended. However Public Participation will continue by written submission only.

Dorset Council is committed to being open and transparent in the way it carries out its business whenever possible. A recording of the meeting will be available on the council's website after the event.

**Using social media at virtual meetings**

Anyone can use social media such as tweeting and blogging to report the meeting when it is open to the public.

# A G E N D A

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## 1 APOLOGIES

To receive any apologies for absence.

## 2 DECLARATIONS OF INTEREST

To disclose any pecuniary, other registrable or non-registrable interest as set out in the adopted Code of Conduct. In making their decision councillors are asked to state the agenda item, the nature of the interest and any action they propose to take as part of their declaration.

If required, further advice should be sought from the Monitoring Officer in advance of the meeting.

## 3 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

When submitting a question please include your name, address and contact details. Questions and statements received in line with the council's revised rules for public participation will be published as a supplement to the agenda.

**The deadline for submission of the full text of a question or statement is 8.30am on Wednesday 22 September 2021.**

## 4 INTERNAL AUDIT PROGRESS REPORT

5 - 12

To consider a report by the South West Audit Partnership (SWAP).

## 5 DELOITTE 2020/21 AUDIT PLAN

13 - 44

To receive the draft Audit Plan from I Howse, Deloitte.

## 6 RISK MANAGEMENT UPDATE

45 - 94

To consider a report by D Trotter, Risk & Resilience Officer and M

Eyre, Service Manager for Assurance.

**7 TREASURY MANAGEMENT ANNUAL REPORT 95 - 112**

To consider a report by D Wilkes, Service Manager, Treasury and Investments.

**8 VALUE FOR MONEY 113 - 120**

To consider a report by B Forrester, Business Partner.

**9 REVIEW OF AGENCY & CONSULTANCY SPEND 121 - 130**

To consider a report by C Matthews, Service Manager – HR Operations

**10 FORWARD PLAN 131 - 134**

To consider the work programme for the committee.

**11 URGENT ITEMS**

To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.

**12 EXEMPT BUSINESS**

To move the exclusion of the press and the public for the following item in view of the likely disclosure of exempt information within the meaning of paragraph x of schedule 12 A to the Local Government Act 1972 (as amended).

The public and the press will be asked to leave the meeting whilst the item of business is considered.

**There is no exempt business.**